

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
19 February 2004 (19.02.2004)

PCT

(10) International Publication Number  
**WO 2004/014417 A3**

(51) International Patent Classification<sup>7</sup>: **A61K 39/095**,  
C12N 1/21, A61P 37/04, A61K 39/102

l'Institut 89, B-1330 Rixensart (BE). **POOLMAN, Jan**  
[NL/BE]; GlaxoSmithKline Biologicals S.A., Rue de  
l'Institut 89, B-1330 Rixensart (BE). **WEYNANTS, Vin-**  
cent [BE/BE]; GlaxoSmithKline Biologicals S.A., Rue de  
l'Institut 89, B-1330 Rixensart (BE).

(21) International Application Number:  
PCT/EP2003/008568

(22) International Filing Date: 31 July 2003 (31.07.2003)

(74) Agent: **LUBIENSKI, Michael, John**; GlaxoSmithKline,  
CIP (CN925.1), 980 Great West Road, Brentford, Middle-  
sex TW8 9GS (GB).

(25) Filing Language: English

(26) Publication Language: English

## (30) Priority Data:

0218037.0	2 August 2002 (02.08.2002)	GB
0218036.2	2 August 2002 (02.08.2002)	GB
0218035.4	2 August 2002 (02.08.2002)	GB
0218051.1	2 August 2002 (02.08.2002)	GB
0220197.8	30 August 2002 (30.08.2002)	GB
0220199.4	30 August 2002 (30.08.2002)	GB
0225524.8	1 November 2002 (01.11.2002)	GB
0225531.3	1 November 2002 (01.11.2002)	GB
0230164.6	24 December 2002 (24.12.2002)	GB
0230168.7	24 December 2002 (24.12.2002)	GB
0230170.3	24 December 2002 (24.12.2002)	GB
0305028.3	5 March 2003 (05.03.2003)	GB

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC,  
SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA,  
UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): **GLAX-**  
**OSMITHKLINE BIOLOGICALS SA** [BE/BE]; Rue de  
l'Institut 89, B-1330 Rixensart (BE).

## Published:

- with international search report
- before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **BIEMANS, Ralph**  
[BE/BE]; GlaxoSmithKline Biologicals S.A., Rue de  
l'Institut 89, B-1330 Rixensart (BE). **DENOEL, Philippe**  
[BE/BE]; GlaxoSmithKline Biologicals S.A., Rue de  
l'Institut 89, B-1330 Rixensart (BE). **FERON, Christiane**  
[BE/BE]; GlaxoSmithKline Biologicals S.A., Rue de  
l'Institut 89, B-1330 Rixensart (BE). **GORAJ, Karine**  
[BE/BE]; GlaxoSmithKline Biologicals S.A., Rue de

(88) Date of publication of the international search report:  
22 July 2004

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

(54) Title: **VACCINE COMPOSITIONS COMPRISING L2 AND/OR L3 IMMUNOTYPE LIPOOLIGOSACCHARIDES FROM  
LGTB- NEISSERIA MINIGITIDIS**

(57) Abstract: The present invention relates to the field of neisserial vaccine compositions, their manufacture, and the use of such compositions in medicine. More particularly it relates to processes of making novel engineered meningococcal strains which are more suitable for the production of neisserial, in particular meningococcal, outer-membrane vesicle (or bleb) vaccines. Advantageous processes and vaccine products are also described based on the use of novel LOS subunit or meningococcal outer-membrane vesicle (or bleb) vaccines which have been rendered safer and/or more effective for use in human subjects. In particular combinations of gene downregulations are described such as PorA & OpA, PorA and OpC, OpA and OpC, and PorA and OpA and OpC. Alternatively, or in addition, lgtB<sup>-</sup> is shown to be an optimal mutation for effectively and safely using L3 and/or L2 LOS in Neisseria vaccine compositions. Bleb vaccines derived from lgtB<sup>-</sup> and capsular polysaccharide deficient meningococcal mutants are further described; as are advantageous methods of making bleb preparations where LOS is to be retained as an important antigen.

## INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/EP 03/08568

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K39/095 C12N1/21 A61P37/04 A61K39/102

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, EMBASE, PAJ, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JENNINGS M P ET AL: "Molecular analysis of a locus for the biosynthesis and phase-variable expression of the lacto-N-neotetraose terminal lipopolysaccharide structure in Neisseria meningitidis." MOLECULAR MICROBIOLOGY. ENGLAND NOV 1995, vol. 18, no. 4, November 1995 (1995-11), pages 729-740, XP002270109 ISSN: 0950-382X	11
Y	page 731, column 1, paragraph 2 -column 2  page 733, column 1, paragraph 2 -page 734, column 1, paragraph 2 page 737, column 1, last paragraph -column 2  -/--	1-8, 12-14, 16-20, 35, 48

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

7 May 2004

Date of mailing of the international search report

18.05.2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Noë, V

# INTERNATIONAL SEARCH REPORT

Inte Application No  
PCT/EP 03/08568

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>WO 01/09350 A (DALEMANS WILFRIED L J ;SMITHKLINE BEECHAM BIOLOG (BE); THIRY GEORG) 8 February 2001 (2001-02-08) cited in the application</p> <p>abstract page 2, line 1 - line 22 page 17, line 12 - line 25 page 20, line 11 - line 22 page 23, line 21 -page 24, line 9 page 31, line 1 - line 22 page 33, line 1 - line 30 page 36, line 5 - line 28 example 8</p>	<p>1-8, 16-20, 36,39, 40,42, 43,45, 47,49</p>
Y	<p>--- VERHEUL A F M ET AL: "PREPARATION, CHARACTERIZATION, AND IMMUNOGENICITY OF MENINGOCOCCAL IMMUNOTYPE L2 AND L3,7,9 PHOSPHOETHANOLAMINE GROUP-CONTAINING OLIGOSACCHARIDE-PROTEIN CONJUGATES" INFECTION AND IMMUNITY, AMERICAN SOCIETY FOR MICROBIOLOGY. WASHINGTON, US, vol. 59, no. 3, 1 March 1991 (1991-03-01), pages 843-851, XP002032436 ISSN: 0019-9567 abstract page 844, column 1, paragraph 2 -page 845, column 1, paragraph 1 page 845, column 1, paragraph 3 page 847, column 1, paragraph 2 -column 1, last paragraph</p>	<p>12,14</p>
Y	<p>--- GAO LIHUI HU XUJING ET AL: "Study on the LOS antigenicity of 2 candidate strains for meningococcal vaccine of serogroup B" BIOSIS, XP002133714 abstract</p>	<p>12</p>
X	<p>--- WAKARCHUK W ET AL: "Functional relationships of the genetic locus encoding the glycosyltransferase enzymes involved in expression of the lacto-N-neotetraose terminal lipopolysaccharide structure in Neisseria meningitidis" JOURNAL OF BIOLOGICAL CHEMISTRY, AMERICAN SOCIETY OF BIOLOGICAL CHEMISTS, BALTIMORE, MD, US, vol. 271, no. 32, 9 August 1996 (1996-08-09), pages 19166-19173, XP002084666 ISSN: 0021-9258 abstract</p> <p style="text-align: center;">--- -/--</p>	<p>11</p>

## INTERNATIONAL SEARCH REPORT

 Inter  
 Application No  
 PCT/EP 03/08568

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 94/08021 A (LEY PETER ANDRE V D ;NEDERLANDEN STAAT (NL); POOLMAN JAN THEUNIS ( ) 14 April 1994 (1994-04-14) cited in the application	21, 24-28, 33,34, 37,38, 40,46, 50,51
Y	abstract  page 5, line 13 -page 6, line 16 page 6, line 31 -page 7, line 8 page 9, line 16 - line 35 page 15, line 13 - line 35 page 16, line 11 - line 22 page 17	35,36, 39,43, 47-49
X	QUAKYI EMMANUEL K ET AL: "Modulation of the biological activities of meningococcal endotoxins by association with outer membrane proteins is not inevitably linked to toxicity" INFECTION AND IMMUNITY, vol. 65, no. 5, 1997, pages 1972-1979, XP001184760 ISSN: 0019-9567 abstract page 1972, column 2, last paragraph -page 1973, column 2, paragraph 1 page 1974, column 2, last paragraph -page 1978, column 1, paragraph 1 page 1978, column 1, paragraph 4 page 1978, column 2, last paragraph	1-3,11, 12,16,19
X	GU X X ET AL: "Preparation, characterization, and immunogenicity of meningococcal lipooligosaccharide-derived oligosaccharide-protein conjugates" INFECTION AND IMMUNITY, AMERICAN SOCIETY FOR MICROBIOLOGY. WASHINGTON, US, vol. 61, no. 5, May 1993 (1993-05), pages 1873-1880, XP002102900 ISSN: 0019-9567 abstract page 1873, column 2, paragraph 1 - paragraph 2 page 1876, column 2, paragraph 2 -page 1878, column 2, paragraph 3 page 1878, column 2, last paragraph -page 1879, column 1, paragraph 1	12,14, 16,17

-/--

## INTERNATIONAL SEARCH REPORT

Inter Application No  
PCT/EP 03/08568

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	ZAKIROV M M ET AL: "Immunological activity of Neisseria meningitidis lipooligosaccharide incorporated into liposomes" ZHURNAL MIKROBIOLOGII EPIDEMIOLOGII I IMMUNOBIOLOGII, vol. 0, no. 1, 1995, pages 49-53, XP008027121 ISSN: 0372-9311 abstract -----	12,13,16
Y	EP 0 941 738 A (AMERICAN CYANAMID CO) 15 September 1999 (1999-09-15) cited in the application	40,42, 43,45,48
A	paragraphs '0013!,'0014!,'0016!,'0029!,'0030!,'0034!- '0038!; claims 1,4-7,13 -----	21-36
P,X	US 6 531 131 B1 (GU XIN-XING ET AL) 11 March 2003 (2003-03-11) abstract column 2, line 33 -column 3, line 1 column 3, line 18 - line 22 column 5, line 20 - line 43 -----	12,14, 16,17

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP 03/08568

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☒ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:  
1-8, 11-31, 37-39 (partially), 32-36, 40-52 (completely)
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-8,11-20 (partially)

LgtB- Neisserial strain with an L2 LOS or L3 LOS immunotype; neisserial bleb preparation derived therefrom; neisserial bleb preparation comprising a combination of blebs derived from Neisserial strains with an L2 LOS immunotype or an L3 immunotype wherein at least one strain is lgtB-; LOS preparation isolated from lgtB- neisserial strain comprising immunotype L2 and/or L3 LOS; immunogenic composition or vaccine comprising said neisserial bleb preparation or LOS preparation and process of manufacturing said vaccine.

2. Claims: 1-8,11-20 (partially)

Neisserial strain with an L2 LOS or L3 LOS immunotype; neisserial bleb preparation comprising a combination of blebs derived from a Neisserial strain with an L2 LOS immunotype and a neisserial strain with a L3 LOS immunotype; LOS preparation isolated from said neisserial strain comprising immunotype L2 and/or L3 LOS; immunogenic composition or vaccine comprising said neisserial bleb preparation or LOS preparation and process of manufacturing said vaccine.

3. Claims: 9-20 (partially)

Neisserial strain having 2 or more of the following outer membrane molecules downregulated, PorA, PorB, OpA, OpC, PilC or FrpB; Neisserial bleb preparation derived from said neisserial strain; LOS preparation isolated from said neisserial strain; immunogenic composition or vaccine comprising said neisserial bleb preparation or LOS preparation and a process of manufacturing said vaccine.

4. Claims: 21-52

Bleb preparation from a gram-negative bacterial strain with an outer-membrane protein conjugated to LOS integrated in its outer membrane; immunogenic composition or vaccine comprising said bleb preparation; process of producing said bleb preparation.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.2

Present claims 21-31,37-39 relate to an extremely large number of possible bleb preparations, namely derived from Gram negative bacterial strains. Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the bleb preparations claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts relating to the bleb preparations from *Moraxella catarrhalis*, a non-typeable *Haemophilus influenzae* strain and from *Neisseria* strains (see description page 13, line 6-11 and examples).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.



# INTERNATIONAL SEARCH REPORT

Inter Application No  
PCT/EP 03/08568

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0109350	A	08-02-2001	AU 770360 B2	19-02-2004
			AU 6833600 A	19-02-2001
			BR 0012974 A	07-05-2002
			CA 2380840 A1	08-02-2001
			CN 1377415 T	30-10-2002
			CZ 20020403 A3	15-05-2002
			WO 0109350 A2	08-02-2001
			EP 1208214 A2	29-05-2002
			HU 0203056 A2	28-12-2002
			JP 2003506049 T	18-02-2003
			NO 20020506 A	02-04-2002
			PL 353891 A1	15-12-2003
			TR 200200275 T2	21-05-2002
			TR 200202448 T2	21-01-2003
WO 9408021	A	14-04-1994	NL 9201716 A	02-05-1994
			AU 684720 B2	08-01-1998
			AU 4835193 A	26-04-1994
			CA 2146145 A1	14-04-1994
			EP 0680512 A1	08-11-1995
			FI 951535 A	01-06-1995
			JP 8501940 T	05-03-1996
			WO 9408021 A1	14-04-1994
			NO 951181 A	01-06-1995
			US 5705161 A	06-01-1998
EP 0941738	A	15-09-1999	AU 766184 B2	09-10-2003
			AU 1954099 A	23-09-1999
			BR 9902008 A	09-05-2000
			CA 2264970 A1	10-09-1999
			EP 0941738 A1	15-09-1999
			JP 11322793 A	24-11-1999
US 6531131	B1	11-03-2003	NONE	